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(10	TRANSMITTAL FORM be used for all correspondence after initial	l filing)	U.S. Pat as are required to respond to a collect Application Number Filing Date First Named Inventor Art Unit Examiner Name Attorney Docket Number	10/802,9 03/17/20 Gary Alla	mation unless 75 04 an Strobel	e; U.S. D	EPARTMENT OF COMMER(vs a valid OMB control numb
Tota	al Number of Pages in This Submission	4	Attorney Bocket Humber	PHI04-01	001		
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	Fee Transmittal Form Fee Attached Amendment/Reply After Final Affidavits/declaration(s) Extension of Time Request Express Abandonment Request Information Disclosure Statement Certified Copy of Priority Document(s) Response to Missing Parts/ Incomplete Application Response to Missing Parts under 37 CFR 1.52 or 1.53	Rema			to 1 Approf / Approf	Technolopeal Cor Appeals Deal Cor Peal Not Prietary tus Lette Per Enclor Intify belo	osure(s) (please
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sufficie	by certify that this correspondence is ent postage as first class mail in an e te shown below.	being fact nvelope a	simile transmitted to the USPTO ddressed to: Commissioner for F	or deposite Patents, P.	ed with the O. Box 145	United : 0, Alexa	States Postal Service with Indria, VA 22313-1450 or

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PTO/SB/08B (08-03) Approved for use through 07/31/2006. OMB 0651-0031

JUN 1 0 2004 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE aperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number. Complete if Known e for form 1449/PTO **Application Number** 10/802,975 INFORMATION DISCLOSURE Filing Date 03/17/2004 STATEMENT BY APPLICANT **First Named Inventor** Gary Allan Strobel Art Unit (Use as many sheets as necessary) **Examiner Name** Attorney Docket Number Sheet PHI04-0001 3

		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
		Please see attached.	
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Examiner	Date	
Signature	Considered	

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached.

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Information Disclosure Statement Application Number: 10/802,975 Filing Date: March 17, 2004 First Named Inventor: Gary Allan Strobel Sheet 2 of 3

		Title of Book or					
Authors	Title of Article	Journal	Date	Pages	Volume/Issue	Publisher	City & Country Published
	An Overview of						
C. W. BACON &	Endophytic Microbes:	Microbial				Marcel	New York, NY
J.F. WHITE JR.	Endophytism Defined	Endophytes	2/25/2000	p.1-21	N/A	Dekker, Inc.	United States of America
	Volatile production by						
	Aspergillus versicolor						
	as a possible cause of					Kluwer	
J. BJURMAN & J.	odor in houses affected					Academic	
KRISTENSSON	by fungi	Mycopathologia	1992	173-178	118	Publishers	Dordrecht Netherlands
	jesterone anticomycete						
	cyclohexenone						
	epoxides from the						
J.Y. LI, & G.A.	endophytic fungas					Elsevier	New York, NY
STROBEL	Pestalotiopsis jesteri	Phytochemistry	2001a	261-265	57	Science (US)	United States of America
J.Y. LI, J.							
HARPER, D.M.	Cambuic acid, a highly						
GRANT, B.O.	functionalized				**		
TOMBE, B.	cyclohexenone with						
BASHYAL, W.M.	antifungal activity from						
HESS, G.A.	Pestalotiopsis spp and					Elsevier	New York, NY
STROBEL	Monochaetia sp.	Phytochemistry	2001b	463-468	56	Science (US)	United States of America
	Cryptocin, a potent						
J.Y. LI, G.A.	tetramic acid						
STROBEL, J.	antimycotoc from the						
HARPER, E.	endophytic fungus					American	
LOBKOVSKY, & J.	Cryptosporiopsis cf.					Chemical	Columpus, OH
CLARDY	quercina	Organic Letters	2000	767-770	2	Society	United States of America
S. RAPIOR, F.							
FONS, & J.	The fenugreek odor of					Allen Press,	Lawrence, KS
BESSIERE	Lactarius helvius	Mycologia	2000	305-308	92	Inc.	United States of America

Information Disclosure Statement Application Number: 10/802,975 Filing Date: March 17, 2004 First Named Inventor: Gary Allan Strobel Sheet 3 of 3

		Title of Book					
Authors	Title of Article	or Journal	Date	Pages	Volume/Issue	Publisher	City & Country Published
J. SCHNURER, J.	Fungal volatiles as indicators of food and	Fungal Genetics and				Academic	San Diego CA
BORJESSON	feeds spoilage.	Biology	1999	209-217	27	Press	United States of America
	Taxol and taxane					American	
	production by					Association	
A. STIERLE, G.A.	A. STIERLE, G.A. Taxomyces andreanae,					for the	
STROBEL, & D.	and endophytic fungas					Advancement	Washington, D.C.,
STIERLE	of Pacific yew.	Science	1993	214-216	260	of Science	United States of America
G.A. STROBEL,							
J.Y. LI, F.	OocydinA, a chlorinated						
SUGAWARA, H.	macrocyclic lactone with						
KOSHINO, J.	potent ant-oomycete					Society for	
HARPER, & W.M.	activity from Serratia					General	
HESS	marcescens.	Microbiol	1999	3557-3564	145	Microbiology	Spencer Wood, Reading, UK
G.A. STROBEL,							
E. DIRKSIE, J.	Volatile antimicrobials					Society for	
SEARS, & C.	from a novel endophytic					General	
MARKWORTH	fungas.	Microbiol	2001	2943-2950	147	Microbiology	Spencer Wood, Reading, UK
J. WOROPONG,	Muscodor roseus						
G.A. STROBEL,	aman. nov. an						
E.J. FORD, J.Y.	endophyte from						
LI, G. BAIRD, &	Cinnamonum					Mycotaxon,	Ithica, NY
W.M. HESS	zeylanicum.	Mycotaxon	2001	62-29	79	LTD	United States of America
J. WOROPONG,							
G.A. STROBEL,							
B. DAISY, U.F.	Muscodor roseus						
CASTILLO, G.	aman. nov. an						
BAIRD, & W.M.	endophyte from	,	1		-	Mycotaxon,	Ithica, NY
HESS	Grevvillea pteridifolia.	Mycotaxon	2002	463-475	80	LTD	United States of America